



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re application of:

Kenneth J. Ross

Application No.: 09/855,568

Filed: May 14, 2001

For: Package Delivery Systems and  
Methods

Examiner: Unassigned

Art Unit: 2152

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

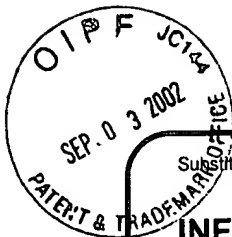
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Steven Cahill  
Reg. No. 44,578

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Application Number	09/855,568	RECEIVED
Filing Date	May 14, 2001	
First Named Inventor	Ross, Kenneth J.	SEP 05 2002
Art Unit	2152	
Examiner Name	Unassigned	Technology Center 2100
Attorney Docket Number	006969-027710US	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	BD	EP	845 762	A2	06-03-1998			
	BE	EP	825 565	A2	02-25-1998			
	BF	WO	00/49580	A1	08-24-2000			
	BG	WO	98/20461	A2	05-14-1998			
	BH	WO	98/13790	A1	04-02-1998			
	BI	GB	1 536 403		12-20-1978			

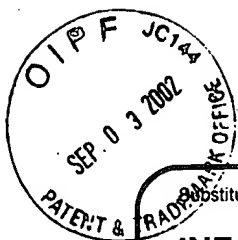
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

### Complete if Known

Application Number 09/855,568

Filing Date May 14, 2001

First Named Inventor Ross, Kenneth J.

Art Unit 2152

Examiner Name Unassigned

Attorney Docket Number 006969-027710US

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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	BK	"Information Based Indicia Program (IBIP) Indiciu Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	BO	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216	
	BP	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

Examiner  
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Date  
Considered

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known

Application Number 09/855,568  
Filing Date May 14, 2001  
First Named Inventor Ross, Kenneth J.  
Art Unit 2152  
Examiner Name Unassigned  
Attorney Docket Number 006969-027710US

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## U.S. PATENT DOCUMENTS

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,963,928	10-05-1999	Lee	
	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
	AD	5,793,867	08-11-1998	Cordery et al.	
	AE	5,781,438	07-14-1998	Lee et al.	
	AF	5,742,683	04-21-1998	Lee et al.	
	AG	5,715,164	02-03-1998	Liechti et al.	
	AH	5,688,056	11-18-1997	Peyret	
	AI	5,666,421	09-09-1997	Pastor et al.	
	AJ	5,638,442	06-10-1997	Gargiulo et al.	
	AK	5,625,694	04-29-1997	Lee et al.	
	AL	5,448,641	09-05-1995	Pintsov et al.	
	AM	5,377,268	12-27-1994	Hunter	
	AN	5,280,531	01-18-1994	Hunter	
	AO	5,231,668	07-27-1993	Kravitz	
	AP	5,181,245	01-19-1993	Jones	
	AQ	5,142,577	08-25-1992	Pastor	
	AR	4,949,381	08-14-1990	Pastor	
	AS	4,853,961	08-01-1989	Pastor	
	AT	4,853,865	08-01-1989	Sansone et al.	
	AU	4,831,555	05-16-1989	Sansone et al.	
	AV	4,813,912	03-21-1989	Chickneas et al.	
	AW	4,812,994	03-14-1989	Taylor et al.	
	AX	4,775,246	10-04-1988	Edelmann et al.	
	AY	4,757,537	07-12-1988	Edelmann et al.	
	AZ	4,743,747	05-10-1988	Fougere et al.	
	BA	4,725,718	02-16-1988	Sansone et al.	
	BB	4,657,697	04-14-1987	Chiang	
	BC	4,447,890	05-08-1984	Duwel et al.	

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Date  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/855,568	
	<b>Filing Date</b>	05/14/2001	
	<b>First Named Inventor</b>	Ross	
	<b>Group Art Unit</b>	2152	
	<b>Examiner Name</b>	Unassigned	
<b>Total Number of Pages in This Submission</b>	3	<b>Attorney Docket Number</b>	006969-027710US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Forty-three (43) references 2) Return receipt postcard
<b>Remarks</b>		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Total number of pages <u>does not</u> include cited references.

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<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP Steven Cahill Reg No. 44,578
<b>Signature</b>	
<b>Date</b>	August 28, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 30, 2002			
<b>Typed or printed name</b>	Chris Fitting		
<b>Signature</b>		<b>Date</b>	August 30, 2002

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